



## RECOMMENDATIONS ON TRANSITION TO NEW VERSIONS OF ISO 9001:2015 and ISO 14001:2015 STANDARDS

*Taking into account our experience in the development of ISO standards we are ready to provide You with the best and the most efficient practice of transition to new versions of standards, including conduction of seminars on new versions of the standards. With an active participation of Russian Register experts ISO has prepared a set of explanatory documents on new versions of the standards. At Your request we are ready to provide You with these documents, including their translations carried out by Russian Register.*

*Irrespective of whether You already are a current Russian Register client or are engaged with some other Certification Body, we suggest You contact us to obtain the most updated and necessary information.*

*Key information on the requirements for transition period is presented below.*

In September 2015 International Organization for Standardization (ISO) has adopted fifth edition of ISO 9001 standard and third edition of ISO 14001 standard. The reviewed documents have a number of significant differences from the previous ones and will require the organizations, whose management systems are already certified or such certification is just in the planning stage, to analyze the extent to which current system complies with new requirements and what has to be done to achieve this compliance.

For transition process to be the most efficient for all the participants of the process there is provided a three-year transition period during which consecutive and deliberate transition to new versions of the standards shall take place.

Organizations have the right to determine the rate and stages of this transition. These may depend on the stage of certification cycle they are currently on, on the level of current system conformity to new requirements, as well as on system efficiency, on availability of resources for conducting this transition if changes necessary to be implemented into the current system are quite significant. Therefore prior to implementing changes it is strongly recommended to conduct analysis/gap assessment to adequately determine all resources necessary for transition, including time resources.

Taking into account the requirements of International Accreditation Forum IAF, if during this three-year period Certification Body issues a Certificate of conformity to the requirements of ISO 9001:2008 and/or ISO 14001:2004, expiry date of such certificate will be limited by transition period end date (15.09.2018). For example if an organization obtains Certificate of conformity to the requirements of ISO 9001:2008 and/or ISO 14001 on 14.01.2016, expiry date of this certificate will be 15.09.2018.

Moreover in compliance with the requirements of the International Accreditation Forum IAF Certificates of conformity to ISO 9001:2015 and ISO 14001:2015 with an international or national accreditation may be issued only when Russian Register has undergone additional

assessment by Accreditation Bodies for the right to conduct certification of compliance with the requirements of new standards versions.

## CONFIRMATION OF MS CONFORMITY TO STANDARD REQUIREMENTS

**Confirmation of organization's MS conformity to the requirements of ISO 9001:2015 and/or ISO 14001:2015 is possible:**

- during scheduled surveillance audit. Then in case of positive results of the assessment of MS conformity to the requirements of new version of the applicable standard Certificate of conformity will be reissued, certificate term of validity will also be changed based on standard three-year validity period of Certificates of conformity. For example if an organization has Certificate of conformity to the requirements of ISO 9001:2008 and/or ISO 14001 issued on 14.01.2016 with expiry date of this certificate on 15.09.2018, then after reissue expiry date of the certificate will be 14.01.2019;
- during scheduled recertification audit. In this case organization receives a certificate confirming MS conformity to the requirements of the new version with validity term of 3 years;
- during additional audit. Then in case of positive results of the assessment of MS conformity to the requirements of new version of the applicable standard Certificate of conformity will be reissued, certificate term of validity will also be changed based on standard three-year validity period of Certificates of conformity. For example if an organization has Certificate of conformity to the requirements of ISO 9001:2008 and/or ISO 14001 issued on 14.01.2016 with expiry date of this certificate on 15.09.2018, then after reissue expiry date of the certificate will be 14.01.2019.

In conduction of the assessment of MS conformity to new requirements during scheduled surveillance or recertification audit increase of audit duration comparing with standard audits may be required. If such increase of duration is required Russian Register will inform organizations about it in advance.

During this audit organization shall demonstrate that all gaps between current MS and the requirements of new version, as well as arrangements required to eliminate these gaps, are clearly identified and implemented and current MS is efficient. It is an essential requirement for recommendation to issue Certificate of MS conformity to the requirements of ISO 9001:2015 and/or ISO 14001:2015. If during the audit sufficient evidence allowing to recommend issue of Certificate of QMS conformity to the requirements of ISO 9001:2015 and/or ISO 14001:2015 is not obtained, period of corrective actions' implementation shall not exceed transition period duration.

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